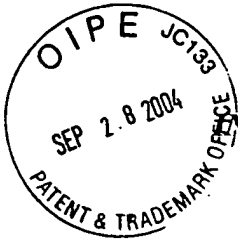


09-29-04 61

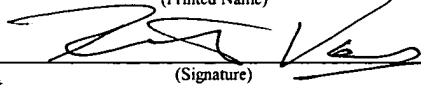
AFS 374

Atty. Dkt. No. 027756-0101



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arthur W. CHAFFEE
Title: INVESTMENT PORTFOLIO
TRACKING SYSTEM AND
METHOD
Appl. No.: 09/410,825
Filing Date: 10/01/1999
Examiner: N. Subramanian
Art Unit: 3624

| | |
|---|---|
| CERTIFICATE OF EXPRESS MAILING | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Mail Stop Appeal Brief: Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. | |
| EV 420553715 US (Express Mail Label Number) | September 28, 2004 (Date of Deposit) |
| Ruthie Vallejo (Printed Name) | |
|  (Signature) | |

AMENDMENT TRANSMITTAL

Mail Stop: Appeal Brief - Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
OCT 04 2004
GROUP 3600

Sir:

Transmitted herewith is a brief on appeal in the above-identified application.

- ☒ Brief on Appeal (In triplicate).
- ☐ Extension of Time.
- ☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

| | | | |
|-------------------------------------|---|------------|----------|
| <input type="checkbox"/> | Extension for response filed within the first month: | \$110.00 | \$0.00 |
| <input type="checkbox"/> | Extension for response filed within the second month: | \$420.00 | \$0.00 |
| <input type="checkbox"/> | Extension for response filed within the third month: | \$950.00 | \$0.00 |
| <input type="checkbox"/> | Extension for response filed within the fourth month: | \$1,480.00 | \$0.00 |
| <input type="checkbox"/> | Extension for response filed within the fifth month: | \$2,010.00 | \$0.00 |
| | EXTENSION FEE TOTAL: | | \$0.00 |
| <input type="checkbox"/> | Statutory Disclaimer Fee under 37 C.F.R. 1.20(d): | \$110.00 | \$0.00 |
| | CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL: | | \$0.00 |
| <input type="checkbox"/> | Small Entity Fees Apply (subtract ½ of above): | | \$0.00 |
| <input checked="" type="checkbox"/> | Appeal Brief Fee under rule 1.17(c): | | \$165.00 |
| | TOTAL FEE: | | \$165.00 |

☐ Please charge Deposit Account No. 50-0872 in the amount of \$165.00. A duplicate copy of this transmittal is enclosed.

☒ A check in the amount of \$165.00 is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date

28 September 04

FOLEY & LARDNER LLP

Customer Number: 23392

Telephone: (202) 672-5407

Facsimile: (202) 672-5399

Respectfully submitted,

By

Ronald Coslick

Ronald Coslick

Attorney for Applicant

Registration No. 36,489